# AP13 Rec'd PCT/PTO 0 7 FEB 2007

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Carolyn Simpson

1450, Alexandria, VA 22313-1450.

EV 962268692 US

(Express Mail Label Number)

Atty. Dkt. No. 026032-4899

2/7/07

(Date of Deposit)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arnold-Huyser et al.

Title:

POINT-OF-INTEREST

DISPLAY SYSTEM

Appl. No.:

10/532,025

International

10/20/2003

Filing Date:

371(c) Date:

04/21/2005

Examiner:

To Be Determined

Art Unit:

To Be Determined

Conf. No.:

8239

**TRANSMITTAL** 

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Office of PCT Legal Administration

Sir:

Transmitted herewith are the following documents for the above-identified application:

[X] Renewed Petition Under 37 C.F.R. 1.47(a) (4 pages)

Exhibit A (2 pages), Exhibit B (1 page), Exhibit C (1 page), Exhibit D (1 page), Exhibit E (88 pages), Exhibit F (36 pages), Exhibit G (1 page), Exhibit H (1 page), Exhibit I (30 pages), Exhibit J (29 pages), Exhibit K (29 pages), Exhibit L (13 pages), Exhibit M (13 pages), Exhibit N (10 pages), Exhibit O (1 page), Exhibit P (3 pages), Exhibit Q (3 pages)

Legal Staff International Division

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box

N IFW 267
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2/7/07

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Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box

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Conf. No.:

8239

## RENEWED PETITION UNDER 37 C.F.R. 1.47(a)

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Attn: Office of PCT Legal Administration

Sir:

Applicants hereby petition the Commissioner under 37 C.F.R. §1.47 to accept the Declaration and Power of Attorney in the above-referenced application, in order to preserve the rights of the Applicants.

A petition under 37 C.F.R. 1.47(a) must be accompanied by: (1) the fee under 37 CFR 1.17(h); (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort; (3) a statement of the last known address of the missing inventor; and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor.

The party to which the Applicants have agreed to assign the invention have made a bona fide attempt and diligent effort to comply with the provisions of 37 C.F.R. §1.47, by attempting to contact the non-signing inventor, Mr. John S. Bambini, in order to execute the application, on multiple occasions. To date, Mr. Bambini has failed to execute the above-referenced application and return it to the party to which the Applicants have agreed to assign. Therefore, the Applicants petition the Commissioner to accept the Declaration and Power of Attorney previously filed by the Applicants, in order to preserve their rights.

### Enclosed herewith:

Exhibit A is a copy of the letter sent to Mr. John Bambini on January 31, 2007 requesting his cooperation and signatures. The copy states that assignment and declaration documents were enclosed with the letter and complete copies of the patent applications, including the specifications, claims, and drawings were also enclosed.

Exhibit B is a copy of the certified mail receipt as sent with the letter.

Exhibit C is a copy of the postage paid return envelope that was enclosed with the letter.

Exhibit D is a copy of Mr. Bambini's employment agreement that was enclosed with the letter.

Exhibit E is a copy of all three complete patent applications that were enclosed with the letter (including attorney docket nos. 026032-4899, 026032-4900, and 026032-4901), including the specification, drawings, and claims.

Exhibit F is a copy of the assignment and declaration papers sent to Mr. Bambini and enclosed with the letter.

Exhibit G is a copy of the executed receipt of certified mail and certified mail domestic return receipt. These documents show that the letter and package including complete copies of all three patent applications were received at Mr. Bambini's last known address. We have not received a response to this letter.

Exhibit H is an e-mail sent on January 31, 2007 requesting Mr. Bambini's cooperation and signatures.

Exhibits I-O are the documents attached to the e-mail sent on January 31, 2007. Please note that complete copies of application papers (including drawings, specification, and claims) were attached, as well as copies of the assignment and declaration documents.

Exhibit P is a copy of a response e-mail sent by Mr. Bambini.

Exhibit Q is a copy of the previously filed affidavit of Ms. Jodi Hemmeke detailing the numerous previous attempts to contact and gain the cooperation of Mr. Bambini.

Please allow us to reiterate that both the January 31, 2007 e-mail and the January 31, 2007 letter included a presentation of a complete copy of the "application papers (specification including claims, drawings, and an oath or declaration)." MPEP § 409.03(d), item II. Applicants believe that they have now shown that Mr. Bambini refuses to cooperate. Both the e-mail and the letter appear to have been received by Mr. Bambini and included complete copies of the subject application. Applicants believe item (2) of 37 C.F.R. 1.47(a) is now satisfied and respectfully request that this renewed petition under 37 C.F.R. 1.47(a) be granted.

The fee set forth in 37 C.F.R. §§ 1.17(h) for filing a petition by other than all the inventors is \$200.00. A credit card payment for this amount was submitted with the petition filed on February 23, 2006 and is not required for submission with this renewed petition.

In compliance with the requirements of 37 C.F.R. §§ 1.47(a), the last known address of the non-signing inventor is as follows:

Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

The Commissioner is hereby authorized to charge any additional fees, including fees for extensions of time, which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing

incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date  $\frac{2}{1/07}$ 

FOLEY & LARDNER LLP Customer Number: 26371 Telephone: (414) 297-5845

Facsimile: (414) 297-4900

Ву

Nathaniel St. Clair, II
Attorney for Applicant
Registration No. 57,498



**EXHIBIT A** 

ATTORNEYS AT LAW

777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202:5306 414:271:2400 TEL 414:297:4900 FAX www.foley.com

January 31, 2007

WRITER'S DIRECT LINE 414.297.5845 nstctair@foley.com EMAIL

CLIENT/MATTER NUMBER 26032-4899

Certified Article Number
7140 3901 9849 4402 1143
SENDERS RECORD

Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

Re:

Assignments & Declarations and Power of Attorney for Patent Applications

Applicant: Arnold-Huyser et al.

Title: POINT-OF-INTEREST DISPLAY SYSTEM

Filing Date: April 21, 2005

F&L File Nos.: 026032-4899, 026032-4900, 026032-4901

Dear Mr. Bambini:

I represent Johnson Controls, Inc. ("JCI"), in the above-referenced patent matters. I have attempted to contact you on multiple occasions to remind you of your obligations to JCI, regarding the execution of certain necessary papers for the above-referenced patent matters.

Per the enclosed document entitled "Employee Innovation and Non-Disclosure Agreement, you entered into an agreement with Prince Corporation (now owned by JCI) to execute "all necessary papers and provide proper assistance during or subsequent to your employment, to enable the company to obtain for itself patents, copyrights, or other legal protection for such inventions or innovations."

I have also enclosed a postage-paid, self-addressed envelope and the necessary papers for you to execute, including the (i) Assignments and (ii) Declarations and Power of Attorney for each of the above-referenced patent applications, in order for you to comply with your contractual obligations to JCI. I have also enclosed complete copies of the patent applications, including the specification, claims, and drawings. Your immediate attention and return of the executed documents prior to Wednesday, February 7, 2007, would be greatly appreciated. If you have any questions regarding the content of this letter or any other communication(s) regarding this matter, please do not hesitate to contact me.

BOSTON BRUSSELS CHICAGO DETROIT JACKSONVILLE LOS ANGELES MADISON MILWAUKEE NEW YORK ORLANDO SACRAMENTO SAN DIEGO SAN DIEGO/DEL MAR SAN FRANCISCO SILICON VALLEY TALLAHASSEE TAMPA TOKYO WASHINGTON, D.C.

### 71L0 3901 9849 L402 1183

TO: Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

**EXHIBIT B** 

SENDER:

NSTC

REFERENCE: 026032-4899

PS Form 3800, January 2005

RETURN RECEIPT SERVICE

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US Postal Service

POSTMARK OR DATE

Receipt for

**Certified Mail** 

CERTIFIED MAIL



7110 340 4849 MID 1143

RETURN RECEIPT REQUESTED



FOLEY & LANDNER LLI

777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202-5306

026032-4899

3983 (NSTC)

Mr. John S. Bambini 5490 Brattleboro Dr. SE Kentwood, MI 49508-6302

## Reichenberger, Karl F.

From:

Bambini, John (AGRE) [John.Bambini@smiths-aerospace.com]

Sent:

Wednesday, January 31, 2007 11:39 AM

To:

St. Clair, Nathaniel

Cc: Subject: Reichenberger, Karl F. RE: Assignments and Declarations and Power of Attorney for Patent Applications

#### Nathaniel,

I told Jody a long time ago to take my name off of this application. thanked her for the opportunity to be included. I went through this process on 2 other patent applications subsequent to my leaving JCI. those cases, Todd Witkowski and Susan Sonday took the time to contact me before being told to sign or be forever harassed. The customary thank you (a simple plaque) from JCI on those past 2 patents efforts has not been received (probably because I am not an employee of JCI). Instead, I received a dollar (the minimum to make it legal). That does not pay for the notarization and shipping of documents (let alone my time). I work in the aerospace industry now on a very important project. What little time I have outside of my many hours per week at the company, I do not want to spend running around on JCI's behalf for a dollar (or even four). I have not be afforded the means from either Miss Jody or yourself the method to request reimbursement for my expense. I did not even get paid for my remaining vacation from JCI (not an issue here, I just wanted to toss that in).

If you want me to sign, you have my address, make an appointment, come on over, and I'll make coffee and I'll sign.

John

From: St. Clair, Nathaniel [mailto:NStClair@foley.com]

Sent: Wednesday, January 31, 2007 11:19 AM

To: Bambini, John (AGRE)

Cc: Reichenberger, Karl F.

Subject: Assignments and Declarations and Power of Attorney for Patent

Applications

Assignments & Declarations and Power of Attorney for Patent

Applications

Applicant: Arnold-Huyser et al.

Title: POINT-OF-INTEREST DISPLAY SYSTEM

Filing Date: April 21, 2005

Fall File Nos.: 026032-4899, 026032-4900, 026032-4901

Dear Mr. Bambini:

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Per the attached document entitled "Employee Innovation and Non-Disclosure Agreement, " you entered into an agreement with Prince Corporation (now owned by JCI) to execute "all necessary papers and provide proper assistance during or subsequent to your employment, to